

## UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/645,954

Applicant

VITERI, Fermin

Filed

08/22/2003

TC/A.U.

3748

Examiner

RICHTER, Sheldon J.

Docket No.

3110.001

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

In accordance with the requirements of 37 C.F.R. §§1.97 and 1.98, the undersigned requests that the information contained herein be considered by the Office.

### **REMARKS**

The information to be considered includes: a form PTO-1449 listing references and a copy of the listed references, in accordance with 37 C.F.R. §1.98. The information contained herein does not constitute any admission that any of the references included herein qualify as prior art, or any admission of any dates of conception, dates of reduction to practice, or presence or absence of diligence in reducing the invention to practice. Applicant reserves the right to challenge the prior art status of these references.

This document is filed before a first Office action on the merits of this case, and hence should be considered as timely filed. Should the examiner have any questions upon his review of the above information, the undersigned requests that the examiner contact the undersigned by phone.

Respectfully Submitted:

Bradley P. Heisler Applicant's Attorney

Telephone (916) 781-6634 Registration No.: 35,892 Date

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Substitute for form 1449A/PTO

PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 16

Complete if Known				
Application Number	10/645,954			
Filing Date	08/22/2003			
First Named Inventor	VITERI, Fermin			
Group Art Unit	3748			
Examiner Name	RICHTER Sheldon J			
Attorney Docket Number	3110.001			

,				U.S. PATENT DOCU	MENTS	
Examiner Initials	Cite No.1	U.S. Paten Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		864,03	17	Miller	08-20-1907	
		886,2	74	Tate	04-28-1908	
		1,013,9	907	Taylor	01-09-1912	
		1,227,2	275	Kraus	05-22-1917	
		1,372,	121	Davis	03-22-1921	
		1,820,	755	McMullen	08-25-1931	
		1,828,	784	Perrin	10-27-1931	
		2,004,3	317	Forster	06-11-1935	
		2,033,0	010	Russell	03-03-1936	
		2,078,9	956	Lysholm	05-04-1937	
		2,168,3	313	Bichowsky	08-08-1939	
		2,218,2	281	Ridder	10-15-1940	
		2,359,1	L08	Hoskins	09-26-1944	
		2,368,8	327	Hanson	02-06-1945	
		2,374,7	710	Smith	05-01-1945	
		2,417,8	335	Moore	03-25-1947	
		2,428,1	L36	Barr	09-30-1947	
		2,469,2	238	Newton	05-03-1949	
	Ī	2,476,0	31	Farkas	07-12-1949	
		2,478,6	582	Blackwood	08-09-1949	

				FORE	IGN PATENT DOCUMENTS	S		
C	5		Foreign Patent Docu	ment	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
		GB	6394		Smith	05-28-1898		
		FR	350,612		Braun	01-07-1905		
		GB	140,516		Torazzi	03-23-1920		
		GB	271,706		Schmid	05-26-1927		
		DE	1,301,821		Fabian	08-28-1969		
		DE	2,933,932		Sternfeld	12-09-1982		
		DE	3,122,338		Rohatscheck	05-19-1983		
		DE	2,111,602		Stahl	07-06-1983		
		EP	0,197,555		Ramsaier	04-09-1986		
		DE	3,512,947		Ramsaier	10-16-1986		

Examiner	Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitut	e for form 1449A/P	то		Complete if Known			
				Application Number	10/645,954		
INFO	PRMATION	1 DISC	LOSURE	Filing Date	08/22/2003		
STA	TEMENT I	BY API	PLICANT	First Named Inventor	VITERI, Fermin		
				Group Art Unit	3748		
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Sheet	2	of	16	Attorney Docket Number	3110.001		

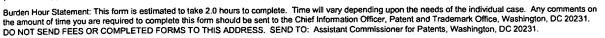
	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Documer  Kind Co (if know.	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		2,487,435	Goddard	11-08-1949				
		2,523,656	Goddard	09-26-1950				
		2,547,093	Ray	04-03-1951				
		2,563,028	Goddard	08-07-1951				
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		2,582,938	Eastman	01-15-1952				
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		2,722,100	Goddard	11-01-1955				
		2,763,987	Kretschmer	09-25-1956				
		2,770,097	Walker	11-13-1956				
		2,832,194	Kuhner	04-29-1958				

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Examiner Initials*	Foreign Patent Document  Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
	EP	0413199		Siemens	02-20-1991		
	 JР	WO 91/02886		Muraki	03-07-1991		
	 EP	0546501		Drnevich	06-16-1993		
	 US	WO 94/10427		Ginter	05-11-1994		
	 EP	06318464		Hiroshi	11-15-1994		
	 EP	0634562		Scharpf	01-18-1995		
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	 US	WO 99/22127		Beichel	05-06-1999		
	US	WO 99/63210		Ursin	12-09-1999		

Examiner	Date	
Signature	Considered	

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Complete if Known Substitute for form 1449A/PTO 10/645,954 **Application Number** INFORMATION DISCLOSURE 08/22/2003 Filing Date VITERI, Fermin STATEMENT BY APPLICANT **First Named Inventor** Group Art Unit 3748 (use as many sheets as necessary) RICHTER, Sheldon J. **Examiner Name** Sheet of 16 Attorney Docket Number 3110.001 3

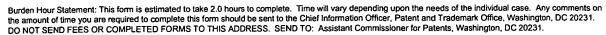
			U.S. PATENT DOCU	MENTS	
Examiner Initials	Cite No.1	U.S. Patent Document  Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		2,869,324	Foote	01-20-1959	
		2,884,912	Lewis	05-05-1959	
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No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	76
	EP	2001015134		Yasuaki	01-19-2001	Figures Appear	十
	EP	2001085036		Tadashi	03-30-2001		Τ
	US	WO 01/75277		Demetri	10-11-2001		I
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		EP	EP 2001085036	EP 2001085036	EP 2001085036 Tadashi	EP 2001085036 Tadashi 03-30-2001	EP         2001015134         Yasuaki         01-19-2001           EP         2001085036         Tadashi         03-30-2001

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Signature	Considered	

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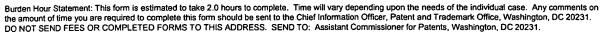
Complete if Known Substitute for form 1449A/PTO 10/845,954 **Application Number** INFORMATION DISCLOSURE 08/22/2003 Filing Date VITERI, Fermin STATEMENT BY APPLICANT **First Named Inventor** 3748 Group Art Unit (use as many sheets as necessary) RICHTER, Sheldon J. **Examiner Name** 3110.001 Sheet Attorney Docket Number

			U.S. PATENT DOCU	MENTS	
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		3,574,507	Kydd	04-13-1971	
		3,608,529	Smith	09-28-1971	
		3,649,469	MacBeth	03-14-1972	
		3,657,879	Ewbank	04-25-1972	
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		3,731,485	Rudolph	05-08-1973	
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		3,779,212	Wagner	12-18-1973	
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		3,804,579	Wilhelm	04-16-1974	
		3,807,373	Chen	04-30-1974	
		3,826,080	DeCorso	07-30-1974	
		3,831,373	Flynt	08-27-1974	

	FOREIGN PATENT DOCUMENTS										
	4	F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	Т			
Examiner Initials	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	т			
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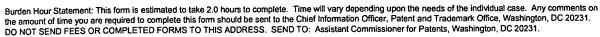
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				Application Number	10/645,954	
INFO	PRMATIO	N DISCI	LOSURE	Filing Date	08/22/2003	
STA	TEMENT	<b>BY APF</b>	PLICANT	First Named Inventor	VITERI, Fermin	
				Group Art Unit	3748	
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Sheet	5	of	16	Attorney Docket Number	3110.001	

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	U.S. Patent Documer  Kind Co  Number  (if know	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
		3,850,569	Alquist	11-26-1974						
		3,854,283	Stirling	12-17-1974						
•		3,862,624	Underwood	01-28-1975						
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		3,972,180	Van Gelder	08-03-1976						
		3,978,661	Cheng	09-07-1976						
		3,980,064	Ariga	09-14-1976						
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		4,063,414	. Sata	12-20-1977						
		4,118,925	Sperry	10-10-1978						
i		4,133,171	Earnest	01-09-1979						
		4,148,185	Somers	04-10-1979						
		4,193,259	Muenger	03-18-1980						
		4,194,890	McCombs	03-25-1980						
		4,199,327	Hempill	04-22-1980						
		4,224,299	Barber	09-23-1980						
		4,224,991	Sowa	09-30-1980						
		4,249,371	Romeyke	02-10-1981						
		4,271,664	Earnest	06-09-1981						

	FOREIGN PATENT DOCUMENTS											
Examiner Cite		F	oreign Patent Do		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant					
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T6				
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.





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				U.S. PATENT DOCU	MENTS	
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		4,273,743		Barber	06-16-1981	
		4,297,841		Cheng	11-03-1981	
		4,313,300		Wilkes	02-02-1982	
		4,327,547		Hughes	05-04-1982	
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		4,465,023		Wagner	08-14-1984	
		4,498,289		Osgerby	02-12-1985	
		4,499,721		Cheng	02-19-1985	
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		4,519,769		Tanaka	05-28-1985	
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		4,549,397		Cheng	10-29-1985	
		4,622,007		Gitman	11-11-1986	
		4,631,914		Hines	12-30-1986	
		4,657,009		Zen	04-14-1987	

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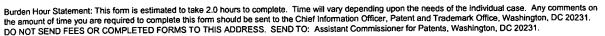
Complete if Known Substitute for form 1449A/PTO 10/645,954 **Application Number** INFORMATION DISCLOSURE 08/22/2003 **Filing Date** STATEMENT BY APPLICANT VITERI, Fermin First Named Inventor 3748 Group Art Unit (use as many sheets as necessary) Examiner Name RICHTER, Sheldon J Attorney Docket Number of 16 3110.001 Sheet

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	U.S. Patent Document  Number Kind Code (if known	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
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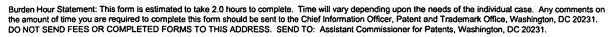
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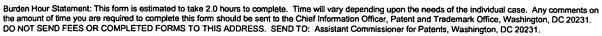
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		6,622,470	Viteri	09-23-2003	
		6,637,183	Viteri	10-28-2003	
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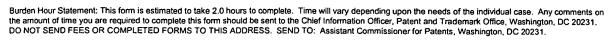
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Sheet	12	of	16	Attorney Docket Number	3110.001

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
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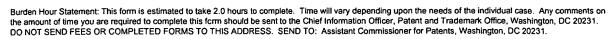
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Sheet	14	of	16	Attorney Docket Number	3110.001		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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		AGAZZANI, A. et al.; An Assessment of the Performance of Closed Cycles with and without Heat Rejection at Cryogenic Temperatures; International Gas Turbine and Aeroengine	
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		MATHIEU, P. et al.; Zero-Emission MATIANT Cycle; Transactions of the ASME; January 1999; pp. 116-120, Vol. 121	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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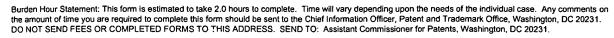
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)				Application Number	10/645,954
			CLOSURE	Filing Date	08/22/2003
			PLICANT	First Named Inventor	VITERI, Fermin
			LIOAIII	Group Art Unit	3748
			necessary)	Examiner Name	RICHTER, Sheldon J.
Sheet	15	of	16	Attorney Docket Number	3110.001

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		Norwegian Idea for CO2-Free Power Generation; Greenhouse Issues; March 1999	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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(use as many sheets as necessary)			as necessary)	Examiner Name	RICHTER, Sheldon J.	
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		HUSTAD, C. W.; Review Over Recent Norwegian Studies Regarding Cost of Low CO2-Emission Power Plant Technology; 5th International Conference on Greenhouse Gas Control Technology;			
		August 2000; Cairns, Australia			
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